

**Anti-corruption Authorities Initiative: Survey on the Effectiveness of Anticorruption Authorities****Background Information**

1. Please enter country name in the space below

**Botswana**

2. Name of the agency

**Directorate on Corruption and Economic Crime**

3. Date of establishment (please include any predecessors if relevant)

**1<sup>st</sup> September 1994**

4. Contact Information

**Private Bag 00344 Gaborone**

5. Website

**www.dcec.bw**

**Legal Framework**

6. What are the main anti-corruption laws in your country?(please include year of entry into force)

**Corruption and Economic Crime Act of 1994  
Amended Corruption and Economic Crime Act of 2013  
Proceeds and Instruments of Crime of 2014  
Section 99 of the Penal Code of 1964**

7. Does your Government have a single or primary anti-corruption strategy? When was it last updated?

**Yes it was updated in 2013.**

8. Does your country have right to information legislation?

Yes  No

9. Does your country have conflict of interest legislation?

Yes  No

10. Does your country have a financial disclosure system to help prevent conflicts of interest?

Yes  No

11. Does your country have statutory or constitutional provisions granting immunity from criminal prosecution to elected or appointed officials?

Yes  No

12. Is your agency protected from political interference by law?

Yes  No

## Institutional Framework

13. What functions does your agency perform?

*Please check all that apply*

Research  Prevention  Investigation  Prosecution  Forensics  Auditing  Policy

14. Is there one agency in charge of coordinating AC efforts across agencies?

Yes  No

If yes, please specify: the DCEC coordinates all anti-corruption activities such as Anti-Corruption Committees, Anti-Corruption Units in Government Ministries as well as Anti-Corruption Clubs in schools and other institutions.

15. Does your agency have the ability to share information or data with

(a) Other agencies within your Government, and

Yes  No

(b) Government partners from other countries?

Yes  No

16. Please enter the current size of permanent staff. Do you have secondees? If so, are they counted separately?

292 and 2 secondees from Ministry of Finance and Development Planning

17. Number of prosecutors (if applicable)

Lawyers to assist in the prosecution led Investigation.

18. Number of investigators including legal advisors (if applicable)

76 Investigators and 11 Legal advisers

19. Number of support staff (if applicable)

35 support staff comprising officers Intelligence unit, Assessment Centre, Exhibit Registry,

20. Are staff positions permanent? Or subject to periodic renewal? What percentage of each?

95% permanent 5% temporary

21. Does your agency keep records on its investigative activity? If it does not, go to question 22 If yes, please show the information you have on the following

a. Number of complaints received

1246 in 2014

b. Number of investigations conducted

395 in 2014

c. Number of investigations completed

179 as at end of December 2014

d. Number of cases recommended for prosecution

12 in 2014

e. Number of cases prosecuted by -i) Your agency ii) DPP, AG, or other separate office

DPP

f. Number of convictions

2 in 2014

22. Please state the number of learning activities and outreach events organized by your agency (if applicable)

23. Please state the value of assets recovered by your agency (if applicable)

24. Please enter the names of other agencies with similar functions as this ACA

none

25. Does your agency have a system to monitor performance of staff?

Yes  No

26. Are human resource management actions, i.e., recruitment, promotion, and termination based on staff integrity and professionalism?

Yes       No

27. Are new vacancies for your own agency announced publically?

Yes       No

28. Are the results of these recruitment processes announced publically by your agency?

Yes       No

29. Who appoints the head of your agency?

HE The President of Botswana

30. Who has the authority to remove the head of the ACA?

HE The President of Botswana

31. To whom does the head of your agency report to?

HE The President of Botswana

32. Is there any term limit for the head of the ACA?

Yes       No

If yes, please specify: **Five years (Renewable)**

33. Is the agency subject to judicial review?

Yes       No

34. Is the agency subject to expenditure reviews?

Yes       No

35. Does your agency measure "performance"?

Yes       No

If yes, against which indicators?

- X Number of investigations conducted
- X Number of investigations concluded
- X Ratio of number of investigations/staff
- Percentage of total budget allocated to outreach activities and communication.
- Number of outreach activities delivered
- Others, please specify

**36. In practice is your agency protected from political interference?**

- Yes       No

**37. Does your agency keep a comprehensive skill list of its staff?**

- Yes       No

**38. Is the staff provided with regular training and refresher training to ensure that their skills are up-to-date?**

- Yes       No

**39. What training has your agency received from foreign organizations or international agencies in the past year?**

World Bank, UNODC Canadian Government US Embassy, Commonwealth Anti-Corruption Centre
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**40. Are employees protected by law from recrimination or other negative consequences when reporting corruption (i.e. whistleblowing)?**

- Yes       No

**41. Does your agency have a communication strategy?**

- Yes       No

**42. Does your agency have a comprehensive corporate plan?**

- Yes       No

**43. Is there a strategy for formulating a partnership with outside agencies to fight corruption?**

- Yes       No

**44. Is there a strategy for dealing with the media?**

- Yes       No

**45. In practice does your agency has sufficient powers to carry out its mandate?**

Yes       No

46. Has your agency requests for additional powers or authorities pending in the parliament or before the executive?

Yes       No

## Resource Mobilization

47. Does your agency have budgetary autonomy?

Yes       No

48. What is the annual budget of your agency?

£ 6,767,933.

49. The annual budget is determined by (please choose the answer(s) that best fit(s) your agency)

<input checked="" type="checkbox"/>	Number of staff
<input checked="" type="checkbox"/>	Number of investigations
<input checked="" type="checkbox"/>	Budget is constitutionally mandated
<input checked="" type="checkbox"/>	Other, please specify -Public Education & Corruption Prevention Programmes

50. Is there any receive any financing from donors?

Yes       No

51. Does your agency use a computerized system to handle cases?

Yes       No

If yes, was it developed especially for your agency or was it purchased off-the-shelf? Are you willing to recommend to others? What is its name and where did you obtain?

**Case Management System...purchased locally..off the shelf... we can recommend it to others to use.**

## Social Framework

52. Does your agency provide a mechanism for citizens to report complaints anonymously or provide information on corrupt activities?

Yes       No

53. Does your agency or government provide a hotline to report corruption?

Yes       No

54. Does your agency produce regular public reports of its activities? Annually? More frequently? Less?

Yes       No

## Innovation

55. Does your agency use any IT tools to: YES

- a. Facilitate reporting of corruption and fraud by citizens (like [www.Ipaidabribe.org](http://www.Ipaidabribe.org)) email, facebook
- b. Share information with citizens about its own activities: website, facebook, portal
- c. Share and/or obtain information for its own investigations: Linked to govt departments
- d. Other – please explain.

56. Does your agency use social media to raise awareness on corruption among the public?

Yes       No